



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/929,938	08/15/2001	Nicola John Policicchio	8666

CONFIRMATION NO. 1637

27752  
THE PROCTER & GAMBLE COMPANY  
PATENT DIVISION  
IVORYDALE TECHNICAL CENTER - BOX 474  
5299 SPRING GROVE AVENUE  
CINCINNATI, OH 45217

Date Mailed: 10/22/2001



## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

#6

IN THE UNITED STATES PATENT & TRADEMARK OFFICE  
PRELIMINARY AMENDMENT AND REQUEST FOR CORRECTED FILING RECEIPT

Case Docket No. 8666

Office of Initial Patent Examination  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231



COPY OF PAPERS  
ORIGINALLY FILED

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 12/6/01

THIBAUT FAYETTE  
Name of Attorney/Agent  
[Signature]  
Attorney or Agent

Dear Sir:

Transmitted herewith is a PRELIMINARY AMENDMENT AND REQUEST FOR CORRECTED FILING RECEIPT for the patent application:

Inventor(s): Policicchio et al.

Serial No.: 09/929,938

Group Art Unit: 1744

Date Filed: August 15, 2001

Examiner: Not Yet Assigned

Title: ADAPTER PLATES FOR CLEANING IMPLEMENT

1. ☒ [X] No additional fee is known to be required.  
2. ☐ [ ] The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA*	RATE	FEE
TOTAL	*	MINUS	**	=	x \$18 =	\$
INDEP.	*	MINUS	***	=	x \$80 =	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$270 =	\$
					TOTAL	\$

3. ☐ [ ] The Commissioner is hereby petitioned under 37 CFR §1.136(a) to grant any extension of time needed for timely response to the Office Action dated in the above-identified application to preserve pendency of said application. The processing fee under 37 CFR §1.17 has been determined as follows: \$ for a month extension of time.
4. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-2480. A duplicate copy of this sheet is attached.
- a. ☒ [x] Any patent application processing fees under 37 CFR §1.16.  
b. ☒ [x] Any patent application processing fees under 37 CFR §1.17.
5. The Commissioner is hereby authorized to make any additional copies of this sheet needed to accomplish the purposes provided for herein and to charge any fee for such copies to Deposit Account No. 16-2480.

[Signature]  
Thibault Fayette  
Attorney for Applicant(s)  
Tel. No. (513) 627-4593

December 6, 2001  
K:/TF/8666/tr8666prelamd112701  
Customer Number: 27752



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929.938	08/15/2001	Nicola John Policicchio	8666

**CONFIRMATION NO. 1637**

27752

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PATENT DIVISION  
IVORYDALE TECHNICAL CENTER - BOX 474  
5299 SPRING GROVE AVENUE  
CINCINNATI, OH 45217

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## FORMALITIES LETTER



\*OC000000006582626\*

Date Mailed: 09/20/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

**Filing Date Granted**

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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*A copy of this notice MUST be returned with the reply.*

*A*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

20140101 08:00:00

#4



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**


**COPY OF PAPERS  
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**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Thibault Fayette is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Proctor & Gamble Company to prosecute patent applications in which the Proctor & Gamble Company is the assignee of all right, title and interest. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Thibault Fayette ceases to lawfully reside in the United States, (ii) Thibault Fayette's employment with the Proctor & Gamble Company ceases or is terminated, or (iii) Thibault Fayette ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: May 30, 2002**

  
\_\_\_\_\_  
Harry I. Moatz  
Director of Enrollment and Discipline

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on December 6, 2001

Thibault Fayette  
Name of Attorney or Agent  
Signature of Attorney or Agent

P&G Case 8666

In the application of:

Policicchio et al.

Serial No. 09/929,938

Filed August 15, 2001

For ADAPTER PLATES FOR CLEANING IMPLEMENT

Confirmation No. 1637

Group Art Unit: 1744

Examiner: Not Yet Assigned

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Applicants hereby request a corrected filing receipt. Attached is a copy of the Filing Receipt with the changes noted thereon. Specifically, there is an error in the *Domestic Priority data as claimed by applicant* on the original Filing Receipt. Please make the following correction:

Please omit "

This appln claims benefit of 60/162,935 11/02/1999

And claims benefit of 60/110,476 12/01/1998

And claims benefit of 60/184,780 02/24/2000

And claims benefit of 60/156,286 09/27/1999 "

and insert -

This application is a Continuation-in-part and claims the benefit of 09/723,026 11/27/2000

which is a Continuation-in-part of PCT/US99/26579 11/09/1999

which claims the benefit of 60/162,935 11/02/1999

and 60/110,476 12/01/1998

This application is also a Continuation-in-part and claims the

benefit of 09/723,025 11/27/2000

which is a Continuation-in-part of PCT/US99/26579 11/09/1999

This application is also a Continuation-in-part and claims the

benefit of 09/831,480 11/09/1999

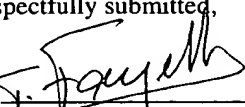
This application is also a Continuation-in-part and claims the

benefit of 09/788,761 02/20/2001

and 60/184,780 02/24/2000

Applicants submit that the error was not the fault of the Applicants. Accordingly, no fee is believed to be due.

Respectfully submitted,

By   
Thibault Fayette  
Attorney for Applicants  
Tele. No. (513) 627-4593

12/6/01

# 11

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Thibault Fayette  
Name of Attorney or Agent

Signature of Attorney or Agent

P&G Case 8666

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Policicchio et al.

Serial No. 09/929,938

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By

Thibault Fayette

Attorney for Applicants

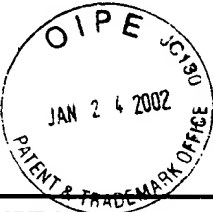
Tele. No. (513) 627-4593

K:/TF/8666/8666corrfilerec

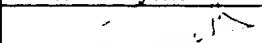
Customer Number: 27752

12/6/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

<b>FEE TRANSMITTAL</b> <b>for FY 2002</b> Patent fees are subject to annual revision. 	<b>Complete if Known</b>		
	Application Number	09/929,938	
	Confirmation Number	1637	
	Filing Date	August 15, 2001	
	First Named Inventor	Policicchio et al.	
	Examiner Name	Not Yet Assigned	
	Group/Art Unit	Not Yet Assigned	
TOTAL AMOUNT OF PAYMENT (\$)	110.00	Attorney Docket No.	8666

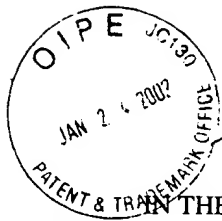
<b>METHOD OF PAYMENT (check one)</b> 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number 16-2480 Deposit Account Name The Procter & Gamble Company <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under status. 37 C.F.R. §§1.16 and 1.17	<b>FEE CALCULATION (continued)</b> 3. <b>ADDITIONAL FEES</b> <table><thead><tr><th>Code</th><th>(\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>Surcharge-late filing fee or oath</td><td><input type="checkbox"/></td></tr><tr><td>127</td><td>50</td><td>Surcharge-late provisional filing fee or cover sheet</td><td><input type="checkbox"/></td></tr><tr><td>139</td><td>130</td><td>Non-English specification</td><td><input type="checkbox"/></td></tr><tr><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td><input type="checkbox"/></td></tr><tr><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner's action</td><td><input type="checkbox"/></td></tr><tr><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner's action</td><td><input type="checkbox"/></td></tr><tr><td>115</td><td>110</td><td>Extension for reply within 1<sup>st</sup> month</td><td><input type="checkbox"/> [110]</td></tr><tr><td>116</td><td>400</td><td>Extension for reply within 2<sup>nd</sup> month</td><td><input type="checkbox"/></td></tr><tr><td>117</td><td>920</td><td>Extension for reply within 3<sup>rd</sup> month</td><td><input type="checkbox"/></td></tr><tr><td>118</td><td>1,440</td><td>Extension for reply within 4<sup>th</sup> month</td><td><input type="checkbox"/></td></tr><tr><td>128</td><td>1,960</td><td>Extension for reply within 5<sup>th</sup> month</td><td><input type="checkbox"/></td></tr><tr><td>119</td><td>320</td><td>Notice of Appeal</td><td><input type="checkbox"/></td></tr><tr><td>120</td><td>320</td><td>Filing a brief in support of an appeal</td><td><input type="checkbox"/></td></tr><tr><td>121</td><td>280</td><td>Request for oral hearing</td><td><input type="checkbox"/></td></tr><tr><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td><input type="checkbox"/></td></tr><tr><td>140</td><td>110</td><td>Petition to revive - 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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Thibault Fayette	Telephone	(513) 627-4593
Signature		Date	November 27, 2001

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Thibault Fayette  
Name of Attorney or Agent  
[Signature]  
Signature of Attorney or Agent

#5  
P&G Case 8666

In the application of:

**Policicchio et al.**

Serial No. 09/929,938

Confirmation No. 1637

Filed August 15, 2001

For ADAPTER PLATES FOR CLEANING IMPLEMENT

**COPY OF PAPERS  
ORIGINALLY FILED**

COMPLETION OF FILING REQUIREMENTS

Box PGPUB DRAWINGS

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

This replies to the Notice to File Corrected Application Papers mailed September 20, 2001. A copy of the Notice to File Corrected Application Papers is enclosed. To complete the informalities noted on the Notice to File Corrected Application Papers, applicant submits herewith:

***New drawings complying with the size requirements (in compliance with 37 CFR §1.84)***

The Commissioner is hereby authorized to charge payment of the surcharge set forth in 37 CFR §1.16(e) to Deposit Account No. 16-2480. The Commissioner is also hereby authorized to charge payment of any patent application processing fees under 37 C.F.R. §§1.16 and 1.17 associated with this communication or credit any overpayment to Deposit Account No. 16-2480. A duplicate copy of this sheet is attached.

The Commissioner is also hereby petitioned under 37 C.F.R. 1.136(a) to grant any extension of time needed for timely response to the Notice to File Missing Parts to preserve the pendency of the above-identified application. The processing fee under 37 C.F.R. §1.136(a) is as follows:

***\$110.00 for one-month extension of time***

The Commissioner is hereby authorized to make any additional copies of this sheet needed to accomplish the purposes provided for herein and to charge any fee for such copies to Deposit Account No. 16-2480.

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01 FC:115 110.00 CH

Respectfully submitted,

By [Signature]  
Thibault Fayette  
Attorney for Applicant(s)  
(513) 627-4593

November 27, 2001  
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Customer Number: 27752